

Reporting and Delivery of Securities Property

When reporting securities, please remember that your report is not complete until you provide evidence of the property being transferred into the ownership of the State of Montana. The required evidence depends on the type of security and how it will be delivered to our custodian on behalf of the state.

Stock Remittances

Two days prior to delivery, you are required to fax an intent to deliver to:

- (1) Avenu Insights & Analytics - (617) 722-9660, Attn: Custody Department, and
- (2) Montana Department of Revenue Unclaimed Property - (406) 444-7997, Attn: Miscellaneous Tax Unit

Your fax must include:

- CUSIP numbers
- delivering party's DTC numbers
- number of shares
- certificate numbers and registration (for physical certificates)
- issue names
- copy of statement (for DRS/Book Shares)

Direct Transfer

(All eligible shares must be deposited through DTC or DWAC if not a DTC participant)

Nominee:

Glory of the West & Co.

FEIN: 83-0367507

DTC Participant: 0901

Agent Bank: 26500

Account: 822441

Book Entry Shares/Direct Registration Shares (DRS)/Dividend Reinvestment Shares (DRP) & Closed-End Funds

Nominee:

Glory of the West & Co.

FEIN: 83-0367507

Address:

Avenu Insights & Analytics
100 Hancock Street, 10th
Floor Quincy, MA 02171

Note: Dividend Reinvestment Plans (DRP) & Closed-End funds please close the accounts and forward whole shares via DTC. Fractional shares must be sold at the owner level and the proceeds applied to each individual owner with a check for the total proceeds delivered with your report. You are required to include a confirmation with your Report of Unclaimed Property stating that Glory of the West & Co. is the owner of the shares. Please also fax a copy of the confirmation to the State of Montana, (406) 444-7997.

Physical Certificates

(non-DTC eligible shares only)

Nominee:

Glory of the West & Co.
FEIN: 83-0367507

Address:

The Depository Trust Company
570 Washington Blvd - 5th Floor
Jersey City, NJ 07310
ATTN: BNY Mellon/Branch Deposit

Department

Note: Send the original certificate to custodian at the above address; send a photocopy of the certificate with your report--please do not deliver the original certificate to the State of Montana with your report. Send only one certificate for each security position reported.

Mutual Funds

(Include complete fund names, FEINs and CUSIP numbers. Please set up account so dividends are reinvested.)

Send interested party statements to: **Register funds as follows:**

Avenu Insights & Analytics
Attn: Custody Department
100 Hancock St, 10th floor
Quincy, MA 02171

MAC & CO
Mutual Fund Operation
500 Grant St. Room 151-1010
Pittsburgh, PA 15258
Account: 822441
FEIN: 23-6019000

Note: You are required to include a statement with your Report of Unclaimed Property listing the shares in the state's name. Please fax a copy of this statement to Avenu Insights & Analytics (617) 722-9660.

Open End Mutual Funds – Contact Avenu at UPCH.Custody@avenuinsights.com to obtain account numbers 3-4 business days prior to attempting delivery.

Worthless Securities

Do not report worthless, non-transferrable or restricted securities.